

# Range queries and Fenwick Trees

Version 1.1

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2nd IOI Training Camp 2017 (3 February 2018)

# Preliminaries

- ▶ All ranges will be half open ranges  $e \in [a, b) \iff a \leq e < b$
- ▶ Occasionally 1 is a more convenient starting index than 0

# Susie has questions

## Problem

*Susie has  $1 < N < 10^6$  model ships arranged in a sequence numbered  $0, \dots, N - 1$ . The  $i$ th boat has a size of  $s_i$  ( $1 < s_i < 10^9$ ). At any given time Susie may replace a boat with another boat of a different size. Given two integers  $a$  and  $b$ , report the sizes of all the ships between  $a$  and  $b$ .*

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In summary

- ▶  $\sim 10^6$  model ships of different sizes  $\sim 10^9$ .
- ▶ Susie can change the size of a ship.
- ▶ Report all sizes of ships between  $a$  and  $b$ .

# Susie's questions are easy to answer

## Solution

*Store an array of all the ships.*

### Time Complexity

- ▶ Let  $m = b - a$ .  $m$  is the width of the query.
- ▶  $O(N)$  construction
- ▶  $O(m)$  query
- ▶  $O(1)$  update

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## Observations

- ▶ The min function is associative i.e.  
 $\min(a, \min(b, c)) = \min(\min(a, b), c)$
- ▶ In other words, min function forms a semigroup with the integers

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- ▶ In other words, min function forms a semigroup with the integers
- ▶ It is unnecessary to iterate over  $m$  since

$$\min(x_1, x_2, \dots, x_{2n}) = \min(\min(x_1, \dots, x_n), \min(x_{n+1}, \dots, x_{2n}))$$

allows us to “cache” queries.

- ▶ We can query in better than  $O(m)$  time.

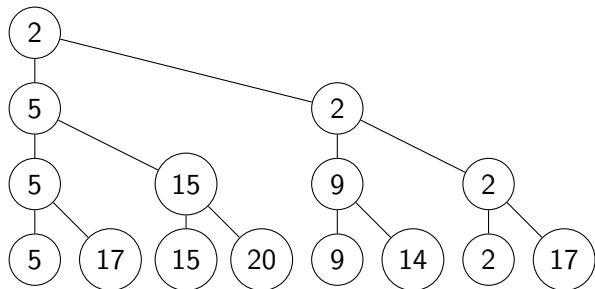


# Segment tree

- ▶ Perfectly balanced binary tree.
- ▶ The leaf nodes correspond with  $s_j$ .
- ▶ A parent is the minimum of it's children.

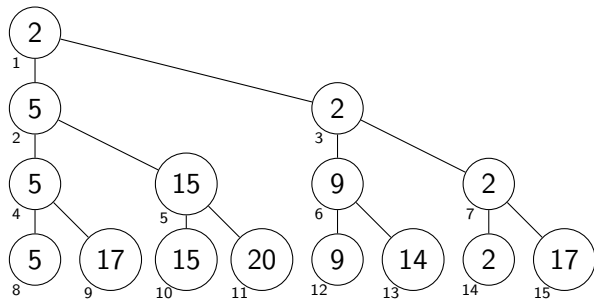
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# Representing a Perfectly Balanced Binary Tree

- ▶ Represent the tree as an array indexed from 1
- ▶ For every index  $i$  the
  - ▶ left child is  $2i$
  - ▶ right child is  $2i + 1$



## Update by walking up the tree

```
def update(index, value):
    index += N
    seg_tree[index] = value
    index /= 2
    while index > 0:
        seg_tree[index] = min(
            seg_tree[2 * index],
            seg_tree[2 * index + 1]
        )
        index /= 2
```

## Query by walking up the tree

```
def query(a, b):  
    a += N  
    b += N  
    ans = ∞  
    while a < b:  
        if a % 2 == 1:  
            ans = min(seg_tree[a], ans)  
            a += 1  
        if (b - 1) % 2 == 0:  
            ans = min(seg_tree[b - 1], ans)  
        a /= 2  
        b /= 2
```

# Time complexity

- ▶  $O(N)$  construction
- ▶  $O(\log N)$  updates
- ▶  $O(\log N)$  query

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## Solution

*When updating a range, if a node is completely within the range, mark it as overridden and don't update the children.*



# Update code

```
def rec_update(i, l, r, v):
    a = segment_start(i)
    b = segment_end(i)
    if l <= a and b <= r:
        # Completely contained in the interval
        override[i] = True
        seg_tree[i] = v
    elif l < b and a < r:
        # Intersects, thus update children
        push_down_override(i)
        rec_update(2 * i, l, r, v)
        rec_update(2 * i + 1, l, r, v)
        seg_tree[i] = min(seg_tree[2 * i], seg_tree[2 * i + 1])

def push_down_override(i):
    l = 2 * i
    r = l + 1
    if override[i]:
        override[i] = False
        override[l] = override[r] = True
        seg_tree[l] = seg_tree[r] = seg_tree[i]
```

# Query

```
def query(i, l, r):
    a = segment_start(i)
    b = segment_end(i)
    if l <= a and b <= r:
        # Completely contained in the interval
        return seg_tree[i]
    elif b <= l or r <= a:
        # Don't intersect do nothing
        return ∞ # Return identity
    else:
        push_down_override(i)
        return min(query(2 * i, l, r), query(2 * i + 1, l, r))
```

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## Observation

- ▶ Addition has an identity ( $0$ )
- ▶ and an inverse operation ( $-$ )
- ▶ Addition forms a group with the integers

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We can subtract!

## Prefix sums

```
prefix_sum = [0]
for i in range(N):
    prefix_sum.append(ships[i] + prefix_sum[-1])

def query(l, r):
    return prefix_sum[r] - prefix_sum[l]
```

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Time Complexity

- ▶  $O(N)$  construction
- ▶  $O(1)$  query
- ▶  $O(N)$  update

Update is too slow!

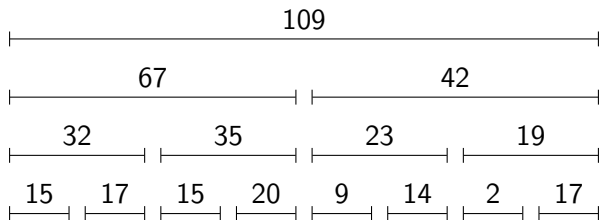
# Fenwick trees

## Ideas

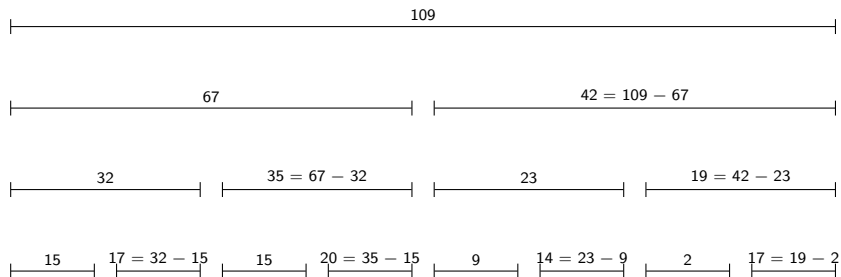
- ▶ We can use a segment tree, but we can do better



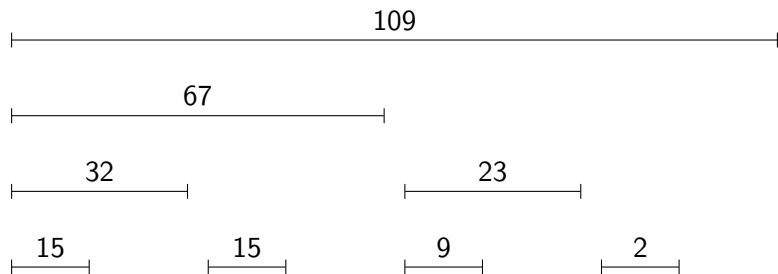
## Combine the prefix sum with the segment tree



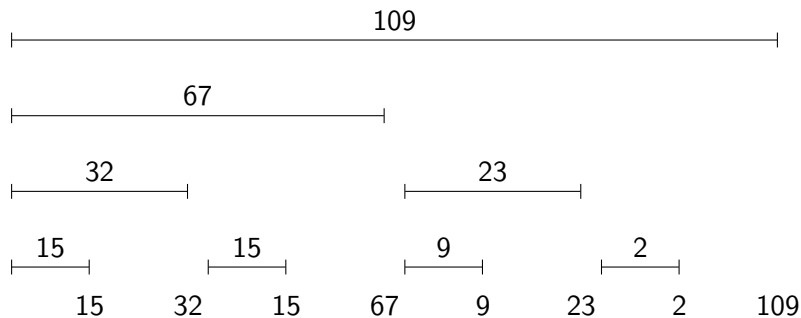
## Right nodes are redundant



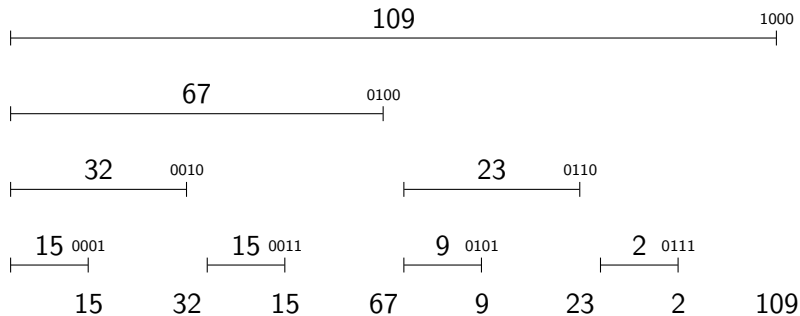
## Chop off the right nodes



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We are left with  $N$  numbers



# Storage

- ▶ We only have  $N$  nodes (not  $2N$ )
- ▶ We use an array indexed from 1.
- ▶ Let  $s$  be the greatest power of 2 that divides  $i$
- ▶ Index  $i$  contains the sum of  $[i - r + 1, i + 1)$

# Updating

- ▶ We update by increasing rather than setting.
- ▶ It is easy to compute what to increase
- ▶  $i$  is the smallest index that contains  $s_i$
- ▶  $i + r$  is the next element that contains  $i$

## Computing $r$

We can compute the largest power of two by using  $i \& \sim(i - 1)$

```
  10101000
-           1
-----
~ 10100111
-----
   01011000
& 10101000
-----
  00001000
```



## Code for fenwick tree

```
def update(i, v):  
    while i < N:  
        fenwick_tree[i] += v  
        # Go to parent  
        i += (i & ~(i - 1))  
  
def query(i):  
    acc = 0 # Identity  
    while i > 0:  
        acc += fenwick_tree[i]  
        # Go to previous  
        i -= (i & ~(i - 1))  
  
query(a, b) = query(b) - query(a)
```

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We can apply a transformation.

- ▶  $d_i = s_i - s_{i-1}$
- ▶  $d_0 = s_0$

Construct a fenwick tree over  $d$

- ▶ We can query a point just by querying `query(point)`
- ▶ Update a range by `update(a, v)` and `update(b, -v)`

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- ▶ We can query a point just by querying `query(point)`
- ▶ Update a range by `update(a, v)` and `update(b, -v)`
- ▶ Beware of off-by-one errors

Susie wants to query a range

$$\begin{aligned}\sum_{i=0}^{a-1} s_i &= \sum_{i=0}^{a-1} \sum_j^i d_j \\ &= \sum_{i=0}^{a-1} (a-i)d_i \\ &= a \left( \sum_{i=0}^{a-1} d_i \right) - \left( \sum_{i=0}^{a-1} id_i \right)\end{aligned}$$

Make a Fenwick tree with  $id_i$  as well.

## Better solution

Use a segment tree instead

